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Morph-Dependency Model for *Upamā*

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Abstract

The development of a comprehensive dependency parser for Sanskrit remains a key research goal, requiring manually annotated corpora and treebanks for training. Since figures of speech are integral to Sanskrit poetry, this study analyses upamā through dependency structures. Our proposed upamā dependency model offers fresh insights into rhetorical analysis and contributes to existing Sanskrit treebanks. We present a sample treebank of upamā instances from the second canto of Raghuvamsam, featuring manually drawn dependency trees that highlight the structure and aesthetics of upamā. Additionally, each instance includes a morph-dependency tree, revealing its grammatical composition. This study illustrates the benefits of integrating dependency parsing with literary analysis and proposes a foundational framework for modelling $upam\bar{a}$ based on the selected text.

Keywords: Dependency, treebank, *upamā*, *raghuvamśam*

1. Introduction and Survey of Literature

Sanskrit poetry is more than grammatically correct sentences; it incorporates metres, sentiments, and figures of speech. Studies, such as Gajjam and Kulkarni (2019), highlight its higher cognitive demand compared to prose. The need for specialised processing is evident in the development of a Sanskrit dependency parser (Kulkarni et al., 2019), which relies on

annotated treebanks. This paper presents a dependency treebank dedicated to $upam\bar{a}^1$. Dependency structures effectively capture the beauty and essence of similes and contribute to computational purposes. Existing Sanskrit treebanks, such as Universal Dependencies (Dwivedi & Guha, 2017), the Sanskrit treebank (Kulkarni et. al., 2020) and those for Vedic Sanskrit (Hellwig et al., 2020) provide a foundation, but this study enhances them with additional details for analysing $upam\bar{a}$.

As a key $alaṅk\bar{a}ra$, $upam\bar{a}$ belongs to the $arth\bar{a}laṅk\bar{a}ra$ category, emphasising meaning over wordplay. It has been a consistent element across Sanskrit rhetorical traditions, with its standard definition² elaborated in the Udyota commentary³. The four essential elements of $upam\bar{a}$ are summarised in Table 1.

иратеуа	object compared
upamāna	object compared to
sādhāraṇadharma	Similar property
sādharmyadyotakaśabda	term signifying similitude

Table 1. Components of upamā

This study examines instances of *upamā* from the second canto of *Raghuvamśam*, a masterpiece by *Kālidāsa*, renowned for his use of similes. Among the numerous similes found across its 19 cantos, selected examples from the *Nandinīvarapradāna*⁴ episode are analysed and illustrated in this study. We follow the tagging guidelines of Ramakrishnamacharyulu (2009) and the *Samsadhani* dependency relations by Kulkarni et al. (2020). The *upamā* dependency tree is structured using the symbol and tag scheme outlined in Tables 2 and 3.

Numbers 1- 7	Respective cases
→	Compound relation
Pink box	иратеуа
Purple box	upamāna

¹ A figure of speech involving comparison based on common properties.

² *Mammaţa*, author of *Kāvyaprakāśa*, defines *upamā* as "*sādharmyamupamā bhede*". Jha (1967: 401) translates it as, "when there is similarity of properties while there is difference between objects themselves, it is *upamā*."

³ "Upamānopameyayorbhede sati vākyārthopaskārakaṃ camatkārisādhāraṇadharmavattvam upamā" (Abhyankar, 1929: 438). It means, *upamā* is a similar property, lying in two inherently different objects, that caters to the sentence meaning.

⁴ In this episode, King *Dilīpa* and Queen *Sudakṣiṇā* serve the divine cow *Nandinī* to beget a child.

Blue box	sādharmyadyotaka
Green box	samānadharma
Yellow tags	Sentential relations
Boxes with red/ blue outline	bimba-pratibimba bhāva (Mirrored objects)
Colourful arrows	Sequence, correspondence

Table 2. Symbols and their meanings

kartā	Subject
karma	Object
karaṇam	Instrument
adhikaraṇam	Locus
ṣaṣṭhī-sambandhaḥ	Genitive
kriyāviśeṣaṇam	Adverb
viśeṣaṇam	Adjective/ modifier
anuyogī	upameya (the object compared)
pratiyogī	upamāna (the object compared to)

Table 3. Tags and their Meanings

2. Features of the Dependency treebank of *upamā*

2.1. Unfolding *upamā*

A dependency tree structures head-modifier relations using defined tags. To analyse *upamā*, we present three progressive dependency trees per instance, illustrating its depth step by step. Verse 32, depicted in Figures 1, 2, and 3, describes King *Dilīpa*'s fury upon failing to protect the divine cow from a lion. The *upamā* compares *upameya Dilīpa* to the *upamāna* serpent—both possessing immense power yet restrained by external forces.

- Figure 1 identifies the simile within dependency relations for basic comprehension.
- Figure 2 (morph-dependency tree) maps syntactic relations through labelled arrows, clarifying grammatical structure.
- Figure 3 delves deeper into word components, distinguishing root and termination levels, following the morpho-syntactic analysis guidelines of Kulkarni et al. (2010:113).

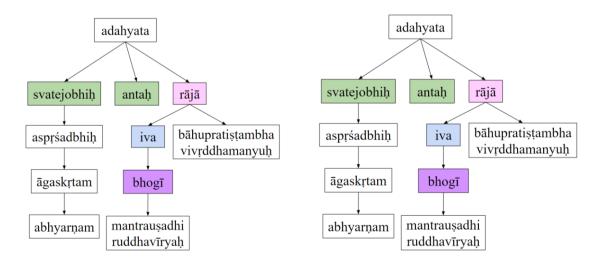


Figure 1. Dependency tree of verse 32, Figure 2. Morph-dependency tree of verse 32

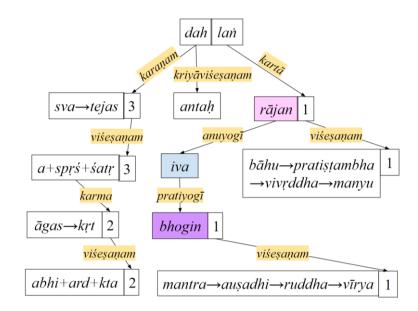


Figure 3. Internal morph-dependency tree of verse 32

2.2. Types of $upam\bar{a}$

We aim to help readers identify types of $upam\bar{a}$ through this treebank, based on 25 categories outlined in $K\bar{a}vyaprak\bar{a}\dot{s}a$. By analysing the dependency tree, we provide an easy way to classify $upam\bar{a}$ using colour-coding and morph-dependency details for a basic three-fold classification (Table 4).

Based on the components present	pūrņā	luptā	-
Based on sādharmyadyotaka	śrautī	ārthī	-
Based on the morphology of the	vākyagā	samāsagā	taddhitagā
compared ones			

2.2.1. Pūrṇā and Luptā

The colour shades and tags indicate whether a simile is $p\bar{u}rn\bar{a}$ (complete) or $lupt\bar{a}$ (elliptical). For example, Figure 4 (Verse 7, Nandargikar, 1897: 39) lacks a green box, indicating it is a $s\bar{a}dh\bar{a}ranadharmalupt\bar{a}$ $upam\bar{a}$, where the description of similitude is absent. Figure 5 (Verse 15, Nandargikar, 1897: 40) lacks a blue box linking the upameya and $upam\bar{a}na$, as well as the $anuyog\bar{\imath}$ and $pratiyog\bar{\imath}$ tags, identifying it as a $dyotakalupt\bar{a}$ simile, where the word denoting similarity is missing.

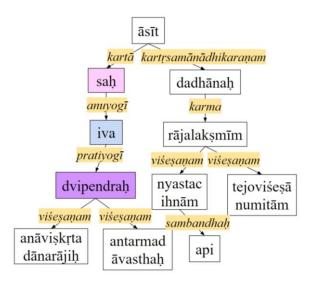


Figure 4. Morph-dependency tree of Verse 7 showing sādhāraṇadharmaluptā simile

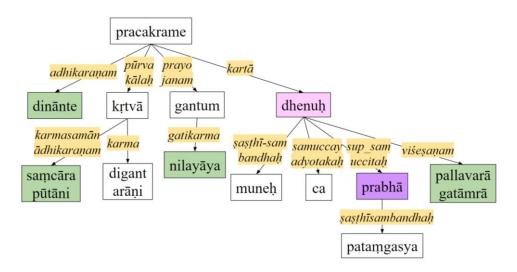


Figure 5. Morph-dependency tree of verse 15 showing dyotakaluptā simile

In this data, we observe that the sādhāraṇadharma is often elided in similes associated with karma-kāraka. For similes linked to a kartā, the verb typically expresses the occasion-specific sādhāranadharma⁵. Additionally, verses 15 and 73 feature multiple green boxes, indicating that more than one sādhāraṇadharma is shared between the upameya and upamāna. In such cases, wordplay allows the description of objects to apply to both elements, with the simile often involving a pun. For instance, in verse 73 (Nandargikar, 1897: 62), the word navodaya refers both to King Dilīpa's return to his capital and the rise of the moon, with the attributes⁶ fitting both *Dilīpa* and the moon perfectly.

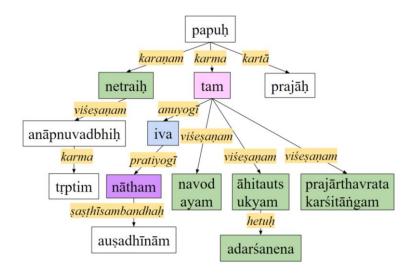


Figure 6. Morph-dependency tree of verse 7 showing a simile accompanied by a pun

2.2.2. Śrautī and Ārthī

The distinction between śrautī and ārthī upamā depends on how quickly one perceives the beauty in the similitude. Śrautī is grasped instantly with all its components, whereas $\bar{a}rth\bar{i}$ involves $\bar{a}sv\bar{a}davilamba^7$ —a delay in realising the similarity and its elements. The dyotaka plays a key role in triggering this process⁸. In a dependency tree, this classification can be partially identified by the presence of markers like 'iva, yathā,' etc.

⁵ See for instance: *anvagacchat* in verses 2 and 6, *pāsi* in verse 48, *ādhatta* in verse 75.

⁶ 1) adarśana (non-appearance) - The king had stayed in the tapovana for a long time; the moon is not visible on no moon day 2) autsukya- eagerness on the part of people to get at least one glimpse 3) prajārthavratakarśitānga - Emaciated limbs of the king due to observance of a vow for prajā i.e. child. Reduced phases of the moon as he too observes a vow for $praj\bar{a}$ i.e., the people, the world. He allows the gods to drink him hence, fades away 4) netraih papuh- (Kale, 1922: 447) sādaramavalokanam pānamucyate (Nandargikar, 1897: 884)

^{7 &#}x27;ārthyāmupamānopameyanirṇayavilambena-āsvādavilambaḥ; tadabhāvaḥ śrautyām' from Udyota commentary (Abhyankar, 1929: 444)

⁸ 'yathevādiśabdānāmupādāne śrautī; tulyādīnām śabdānām prayoge ārthī' from Pradīpa commentary (ibid: 442)

2.2.3. Vākyagā, Samāsagā and Taddhitagā

An internal morph-dependency tree helps determine whether a simile appears in a sentence, a compound, or a word with a specific affix. In this data, 19 out of 20 instances fall under the *samāsagā* category, as *iva* is commonly present and always compounded⁹. Parameters¹⁰ like *bhinnavibhaktikatva* (different cases) and *asamastatva* (non-compound) help identify *vākyagā upamā*, as case markings indicate grammatical roles. For example, Verse 9 (Nandargikar, 1897: 38, Figure 7) is the only *vākyagā upamā* in this dataset, where King *Dilīpa* is compared to *Varuṇa* based on their shared role in law enforcement. Additionally, the position of *iva*¹¹ (linked to the verb) suggests that this verse also exemplifies *utprekṣā* (another figure of speech).

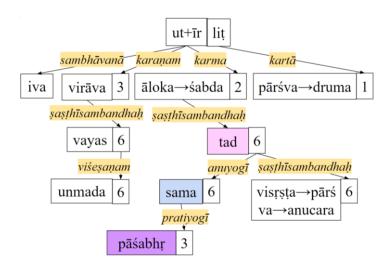


Figure 7. Morph-dependency tree of verse 9 showing *vākyagā* simile

2.3. Denoting bimba-pratibimba-bhāva

Upamā compares two inherently different objects based on a strikingly similar property. However, sometimes, similarity extends beyond an object to its entire environment. *Bimba-pratibimba-bhāva*¹² refers to this similitude within the broader context of explicitly compared objects. It appears in various forms. At times, *upameya* and *upamāna* share comparable

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⁹ 'ivena nityasamāsaḥ' from Kāvyaprakaśa (ibid: 443)

^{10 &#}x27;upamānādipadāni catvāryapi yatrāsamastāni bhinnavibhaktikāni sā vākyagā' from Pradīpa commentary (Ibid: 441)

¹¹ 'ivādīnāmupamānamātrānvitatā' from *Pradīpa* commentary (Abhyankar, 1929: 450). In *upamā*, *sadharmyadyotaka* is connected to the *upamāna* only.

¹² This concept is central to *dṛṣṭānta* and *prativastūpamā* (Mishra, 2015: 67). *Udyota*, a commentary on *Kāvyaprakāśa* (Abhyankar, 1929: 445), also highlights *bimba-pratibimba-bhāva* in an *upamā* example. Following this approach, we identified this phenomenon in most *upamā* instances in our data and represented it with shaded boxes in our diagrams for clarity.

adjectives, especially when sādhāraṇadharma is elided¹³. Sometimes, a primary upameya (usually kartā) is supported by subordinate upameya-s like karma and karaṇam. Together, they form the bimba, reflected in their corresponding pratity ogī-s—upamāna-kartā, upamāna-karma, etc.

To determine if these mirrored objects (called so by Desiraju, 2010) qualify as separate similes, we examine verses 2 and 75. Verse 2 (Nandargikar, 1897: 36) contains 2-3 upameya-upamāna pairs with a single dyotaka, iva. To meet iva's expectancy, we create two separate trees, each with one *upameya-upamāna* pair (Figure 8). By merging them with *iva* as the principal simile and marking others as mirrored objects, we derive the full verse's dependency tree (Figure 9), demonstrating the necessity of bimba-pratibimba-bhāva.

In verse 75 (Nandargikar, 1897: 63), a cluster of *upamā* (Figure 10) features two distinct similes, each with its own iva. Both kartā and karma are compared to different objects, with the dyotaka appearing twice. Therefore, cases where a single dyotaka governs multiple upameya-upamāna pairs should be treated as instances of mirrored objects.

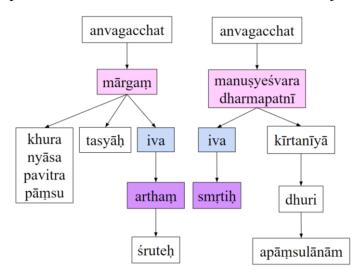


Figure 8. Separate the dependency trees of pairs of *upameya* and *upamāna* from Verse 2

¹³ For instance: verses 7, 37, etc.

¹⁴ See dependency trees of verses 2, 10, 15, 20, etc.

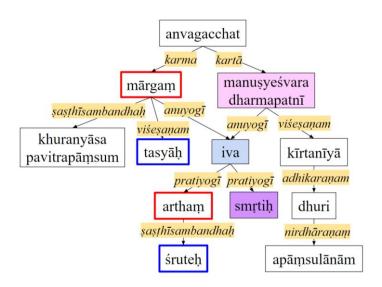


Figure 9. Morph-dependency tree of verse 2 showing a simile with mirrored objects

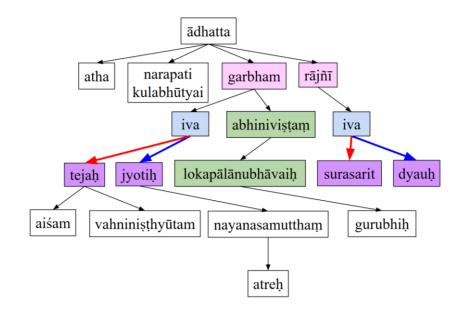


Figure 10. Dependency tree of verse 75

2.4. Additional benefits of the dependency model

A verse's khandānvaya¹⁵ can be derived from its dependency tree, which visually represents a khaṇḍānvita sentence (Kulkarni & Das, 2012). This aids comprehension and captures sequential similarity within the structure. Matching arrows effectively denote correspondence, as seen in verses 6 and 75. In verse 6 (Figure 11), the simile highlights the king's devotion to serving the cow—wholeheartedly following her, forsaking his royal status

¹⁵ It is a method of analysis of text with verb being the head and other relations being its modifiers.

and even himself. This dedication parallels how a shadow clings to a person, never leaving or separating from them.

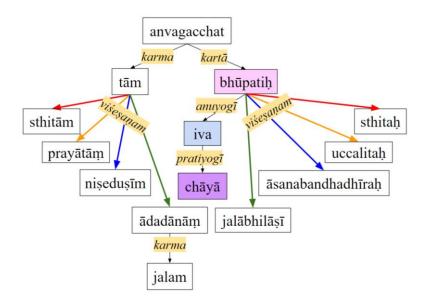


Figure 11. Morph-dependency tree of verse 6 showing a sequence through arrows

3. Concluding remarks

The previous sections explored how integrating dependency and $upam\bar{a}$ offers various advantages. $K\bar{a}raka$ analysis within morph dependency has led to a potential template for simile dependency. Constructing dependency trees for multiple similes revealed a consistent $k\bar{a}raka$ assignment pattern, forming the basis for the prototype template of $upam\bar{a}$ dependency (Figure 12). By substituting values in this template, we can generate dependency trees for different $upam\bar{a}$ instances.

Since poetry is an artistic expression, rigid modelling is challenging. However, we propose a minimalistic framework based on the $k\bar{a}raka$ variations in the second canto of $Raghuvam\acute{s}am$. This framework includes nodes for $v\bar{a}kya$ -relations, various $k\bar{a}rakas$ and the $upam\bar{a}$ relation at the second level, as similes primarily modify $kart\bar{a}$ or karma. The second canto contains 20 simile instances, summarised in Table 5.

Associated with kartā	11	Associated with karma	8
pūrṇā	6	luptā	14
śrautī	13	ārthī	7
vākyagā	1	samāsagā	19

Table 5. Classification of similes from Raghuvamśam Canto II according to their types

This study catalogues the similes in the second canto of Raghuvamśam, classifies them as per $K\bar{a}vyaprakaśa$ and presents manually drawn dependency and tagged morph-dependency trees for each instance¹⁶. The next step is to train existing parsers to better process figures of speech. Beyond machine training, this treebank will aid research, information retrieval and education.

Currently, only the second canto has been analysed, but this approach can extend to all *Raghuvamśam* cantos and other rhetorical figures involving similarity and comparison. An indigenous treebank dedicated to figures of speech would encourage further study. The tag set can be refined to include *upamā*-specific elements like *sādhāraṇadharma* and *pratibimbita-upameya*. Elided *upamā* components can be marked with dotted lines in dependency trees for clarity. Additionally, we plan to modify the third dependency tree in several instances to better represent similes within compounds.

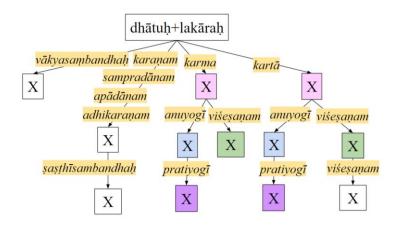


Figure 12. Template of dependency model for *upamā*

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¹⁶ Link to our sample Dependency treebank of *upamā*: <u>https://docs.google.com/presentation/d/1Lu4JRToMCwSmPsjNXuS3oDFCOfeZy1KV2ug73iQUjls/edit?usp=sharing</u>

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